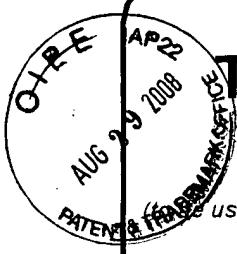


TJF/WL

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031  
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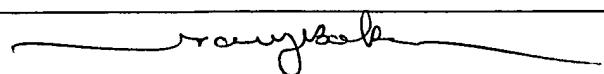
# TRANSMITTAL FORM

*(This form is used for all correspondence after initial filing)*

|  |                      |                                       |
|--|----------------------|---------------------------------------|
|  | Application Number   | 10/580,987                            |
|  | Filing Date          | May 25, 2006                          |
|  | First Named Inventor | Zhiwen Zhang                          |
|  | Group Art Unit       | 1653                                  |
|  | Examiner Name        | Maria Gomez Leavitt                   |
| Total Number of Pages in This Submission |                      | Attorney Docket Number<br>54-001021US |

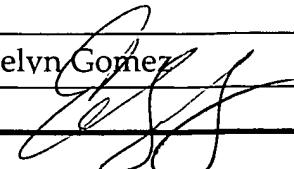
| ENCLOSURES (check all that apply)  |   |   |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form  | <input type="checkbox"/> Assignment Papers<br><i>(for an Application)</i>                     | <input type="checkbox"/> After Allowance Communication<br>to Group  |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board<br>of Appeals and Interferences                    |
| <input type="checkbox"/> Amendment / Response  | <input type="checkbox"/> Licensing-related Papers   | <input type="checkbox"/> Appeal Communication to Group<br><i>(Appeal Notice, Brief, Reply Brief)</i>      |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69)<br>and Accompanying Petition       | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declaration(s)   | <input type="checkbox"/> Petition to Convert to a<br>Provisional Application                  | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request   | <input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence<br>Address | <input checked="" type="checkbox"/> Additional Enclosure(s)<br><i>(please identify below):</i>            |
| <input type="checkbox"/> Express Abandonment Request   | <input type="checkbox"/> Terminal Disclaimer  | <input type="checkbox"/> PTO-1449 form, copy of cited<br>reference and receipt<br>acknowledgment postcard |
| <input checked="" type="checkbox"/> Information Disclosure Statement   | <input type="checkbox"/> Small Entity Statement   |   |
| <input type="checkbox"/> Certified Copy of Priority<br>Document(s)   | <input type="checkbox"/> Request for Refund   |   |
| <input type="checkbox"/> Response to Missing Parts/<br>Incomplete Application  |   |   |
| <input type="checkbox"/> Response to Missing<br>Parts under 37 CFR<br>1.52 or 1.53   |   |   |
| <b>Authorization to Charge Deposit Account</b><br>Please charge Deposit Account No. 50-0893 for any additional fees associated with<br>this paper or during the pendency of this application, including any extensions of time<br>for consideration of the documents enclosed. |   |   |
| Remarks  |   |   |

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                               |  |
|-------------------------------|--|
| Firm<br>or<br>Individual name | Gary Baker, Reg. No. 41,595, Quine Intellectual Property Law Group P.C.              |
| Signature                     |  |
| Date                          | August 27, 2008  |

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, DC 20231 on this date:

|                       |   |
|-----------------------|---|
| Typed or printed name | Evelyn Gomez  |
| Signature             |  |
| Date                  | 8/27/08   |

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|---|------|--------------------------|---------------------|
|  <p>Effective on 12/08/2004.<br/>Fees pursuant to the Consolidated Appropriations Act , 2005 (H.R. 4818).</p> <p><b>FEE TRANSMITTAL</b><br/><b>AUG 29 2008</b> For FY 2008</p> <p>Applicant claims small entity status. See 37CFR 1.27</p> |      | <b>Complete if Known</b> |                     |
|   |      | Application Number       | 10/580,987          |
|   |      | Filing Date              | May 25, 2006        |
|   |      | First Named Inventor     | Zhiwen Zhang        |
|   |      | Examiner Name            | Maria Gomez Leavitt |
|   |      | Art Unit                 | 1653                |
| TOTAL AMOUNT OF PAYMENT   | (\$) | 180.00                   | Attorney Docket No. |
| <b>54-001021US</b>  |      |                          |                     |

**METHOD OF PAYMENT** (check all that apply)

Check  Credit Card  Money Order  None  Other (please identify): **Deposit Account**

Deposit Account Deposit Account Number: **50-0893** Deposit Account Name: Quine Intellectual Property Law Group, P.C.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below  Charge fee(s) indicated below, except for the filing fee

Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17  Credit any overpayments

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**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| <u>Application Type</u> | <u>FILING FEES</u> |                     | <u>SEARCH FEES</u> |                     | <u>EXAMINATION FEES</u> |                     |                       |
|-------------------------|--------------------|---------------------|--------------------|---------------------|-------------------------|---------------------|-----------------------|
|                         | <u>Fee (\$)</u>    | <u>Small Entity</u> | <u>Fee (\$)</u>    | <u>Small Entity</u> | <u>Fee (\$)</u>         | <u>Small Entity</u> | <u>Fees Paid (\$)</u> |
| Utility                 | 310                | 155                 | 510                | 255                 | 210                     | 105                 |                       |
| Design                  | 210                | 105                 | 100                | 50                  | 130                     | 65                  |                       |
| Plant                   | 210                | 105                 | 310                | 155                 | 160                     | 80                  |                       |
| Reissue                 | 310                | 155                 | 510                | 255                 | 620                     | 310                 |                       |
| Provisional             | 210                | 105                 | 0                  | 0                   | 0                       | 0                   |                       |

**2. EXCESS CLAIM FEES**

| <u>Fee Description</u>                             | <u>Small Entity</u> |
|--|---------------------|
| Each claim over 20 (including Reissues)            | 50 25               |
| Each independent claim over 3 (including Reissues) | 210 105             |
| Multiple dependent claims                          | 370 185             |

| <u>Total Claims</u> | <u>Extra Claims</u> | <u>Fee (\$)</u> | <u>Fee Paid (\$)</u> | <u>Multiple Dependent Claims</u> |                      |
|---------------------|---------------------|-----------------|----------------------|----------------------------------|----------------------|
| - 20 or HP =        | x                   | =               |                      | <u>Fee (\$)</u>                  | <u>Fee Paid (\$)</u> |

HP = highest number of total claims paid for, if greater than 20.

| <u>Indep. Claims</u> | <u>Extra Claims</u> | <u>Fee (\$)</u> | <u>Fee Paid (\$)</u> | <u>Fee (\$)</u> | <u>Fee Paid (\$)</u> |
|----------------------|---------------------|-----------------|----------------------|-----------------|----------------------|
| - 3 or HP =          | x                   | =               |                      |                 |                      |

HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$260 (\$130 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

| <u>Total Sheets</u> | <u>Extra Sheets</u> | <u>Number of each additional 50 or fraction thereof</u> | <u>Fee (\$)</u> | <u>Fee Paid (\$)</u> |
|---------------------|---------------------|---|-----------------|----------------------|
| - 100 =             | / 50 =              | (round up to a whole number) x                          | =               |                      |

**4. OTHER FEE(S)**Non-English Specification, \$130 fee (no small entity discount) Fees Paid (\$)

Other (e.g., late filing surcharge): \_\_\_\_\_

Other: Information Disclosure Statement 180

Other: \_\_\_\_\_

Other: \_\_\_\_\_

Other: \_\_\_\_\_

Other: \_\_\_\_\_

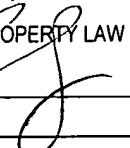
|                     |            |                                      |        |
|---------------------|------------|--------------------------------------|--------|
| <b>SUBMITTED BY</b> |            |                                      |        |
| Signature           |            | Registration No.<br>(Attorney/Agent) | 41,595 |
| Name (Print/Type)   | Gary Baker |                                      |        |
|                     |            | Date                                 |        |



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service first class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on 8/27/08.

QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

By:   
Evelyn Gomez

Appl. No. : 10/580,987 Confirmation No. \_\_\_\_\_

Applicant : Zhiwen Zhang, et al.

Filed : May 25, 2006

TC/A.U. : 1653

Examiner : Maria Gomez Leavitt

Docket No. : 54-001021US

Customer No. : 22798

Client Ref No.: 1021.2 US / NOV0627P

Novartis Ref. No.: 4-33962/SCR

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97 and § 1.98**

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

This IDS is being filed after the mailing date of the first Office Action and more than three months after the filing date, but prior to the Notice of Allowance or Final Office Action. Please deduct \$180.00, pursuant to 37 CFR §1.17(p), from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Gary Baker  
Reg. No. 41,595

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